# What Is Computability Theory? 

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## Computability

We call a function computable if there is a computer program that executes it.

What are the limits of computational power? We need to abstract the essentials.

Also:

- Want to be independent of hardware advances.
- Don't want to set limits in advance on time and memory use.


## Essential Components

- memory that can be read from and written to
- arithmetic
- if...then
- looping (for, while)

More than this list is purely to make it easier for humans to use.

## BASIC

10 REM LANDING
20 REM A flying saucer coming in for a landing.
30 FOR FREQ\% $=600$ TO 50 STEP -25
$40 \quad$ SOUND FREQ\%, 2
$50 \quad$ SOUND 32767,. 5
60 NEXT FREQ\%
70 END

$$
\begin{gathered}
\mathrm{C}++ \\
\text { (countdown program) }
\end{gathered}
$$

```
#include <iostream>
using namespace std;
int main ()
{
    for (int n=10; n>0; n--) {
        cout << n << ", ";
    }
    cout << "FIRE!\n";
    return 0;
}
```


## FALSE <br> (factorial program)

[\$1=~[\$1-f;!*]?]f:
"calculate the factorial of [1..8]: " B~ß'0-\$\$0>~ \8>|\$
"result: "
~[\f;!.]?
["illegal input!"]?"
"

## Commonalities

- Finite sequence of symbols out of a finite alphabet (usually letters, numbers, and standard punctuation).
- Could be ordered somehow and each assigned a number.

Fix some programming language.

## Enumeration (Listing)

Sequences on alphabet $\{a, b\}$ may be enumerated as follows:

| 1. | a | 6. | bb | 11. | baa |
| :--- | :--- | ---: | :--- | :--- | :--- |
| 2. | b | 7. | aaa | 12. | bab |
| 3. | aa | 8. | aab | 13. | bba |
| 4. | ab | 9. | aba | 14. | bbb |
| 5. | ba | 10. | abb |  | $\ldots$ |

Presumably not all will be valid programs, but that's okay. We'll just consider those to be programs that do nothing.

## A Counting Argument

- There are as many programs in a given language as there are natural numbers (countably many).
- There are as many functions on the natural numbers as there are real numbers (uncountably many).

The latter set is strictly larger!

In fact, there are uncountably many noncomputable functions.

## The Halting Problem

Fix an enumeration of programs $P_{0}, P_{1}, \ldots$ We'll define a function $f$ that is not computed by any program on the list.

$$
f(x)= \begin{cases}1 & \text { if } P_{x}(x) \text { halts (gives an output) } \\ 0 & \text { if } P_{x}(x) \text { goes into an infinite loop }\end{cases}
$$

Proof by contradiction: from $f$, define $g$ :

$$
g(x)= \begin{cases}P_{x}(x)+1 & \text { if } f(x)=1 \\ 0 & \text { if } f(x)=0\end{cases}
$$

If we can write $f$ as a program we can also write $g$ as a program, meaning $g=P_{e}$ for some $e$. But then if $P_{e}(e)$ is defined, $g(e)=P_{e}(e)+1 \neq P_{e}(e)$, and if $P_{e}(e)$ is not defined, $g(e)=0$, again $\neq P_{e}(e)$.

## Not Just Functions

It is useful to work in terms of subsets of the natural numbers for our continuing exploration.

Notation:

- $\mathbb{N}=\{0,1,2, \ldots\}$, the natural numbers.
- $x \in A$ is read " $x$ is in $A$ " or " $x$ is a member of $A$ " or " $x$ is an element of $A$ ", where $A$ is a set.
- $A \subseteq B$ is read " $A$ is a subset of $B$ " and means all elements of $A$ are also elements of $B ; B$ may or may not have additional elements not in $A$.


## Sets, Sequences, Functions

We are very loose with these objects and blur them together.

- The function $f: \mathbb{N} \rightarrow\{0,1\}$ is associated with the sequence with entries $f(0), f(1), f(2), \ldots$, in order.
- The sequence $S$ is associated with the set $A$ where $n \in A$ if the $n^{t h}$ entry of $S$ is 1 , and $n \notin A$ otherwise.


## Example

Define $f$ by $f(n)=$ the remainder of $n$ upon division by 2 . This function is associated with the sequence 010101010101... and the set of odd numbers.

## Comparing Noncomputability

If we choose some set $A$ and allow our programs to include statements of the form "if $n \in A$, then...", we are working with oracle programs. If $A$ is noncomputable, we can now compute more sets than we could before (e.g., $A$ itself).
[If $A$ is computable we've added nothing. Why?]
Notation: if $B$ can be computed by a program with oracle $A$, we say $B$ is Turing reducible to $A$ and write $B \leq_{T} A$.

## It Goes Up and Up

Call the set associated with the Halting Problem $H$, and give it to every program in our enumeration as an oracle (the list is now written $\left.P_{0}^{H}, P_{1}^{H}, \ldots\right)$. Define a new $f$ :

$$
f(x)= \begin{cases}1 & \text { if } P_{x}^{H}(x) \text { halts (gives an output) } \\ 0 & \text { if } P_{x}^{H}(x) \text { goes into an infinite loop }\end{cases}
$$

The same proof as before shows $f$ is not computable by any $P_{e}^{H}$, and this proof is not dependent on $H$. No matter what oracle $A$ we choose, there are sets $B \not \mathbb{L}_{T} A$ - in fact, uncountably many.

This $f$ is the Halting Problem relativized to $H$.

## Turing Degrees

If we call the set associated with our new halting function $H^{\prime}$, we have $H \lesseqgtr_{T} H^{\prime}$. Iterating we can get $H^{\prime} \lesseqgtr_{T} H^{\prime \prime} \lesseqgtr_{T} H^{\prime \prime \prime} \lesseqgtr_{T} \ldots$ forever, because we always have uncountably many sets left.

The relation $A \equiv_{T} B$ defined as $A \leq_{T} B \& B \leq_{T} A$ partitions the subsets of $\mathbb{N}$ into boxes (equivalence classes) called Turing degrees.

Each Turing degree contains countably many sets.
There are uncountably many Turing degrees.

## Computably Enumerable Sets

A natural collection of sets to consider to be "next-larger" than the computable sets is the computably enumerable (c.e.) sets.
$A$ is c.e. if its elements may be listed out computably, but not necessarily in order.

Each program $P_{e}$ is associated with two c.e. sets: its domain and its range. When taken for all programs, either one covers all the c.e. sets, and traditionally we use the domain.

We denote $\operatorname{dom}\left(P_{e}\right)$ by $W_{e}$, and call it the $e^{t h}$ c.e. set.

## Facts About C.E. Sets

- A set is computable if and only if its elements may be enumerated in order.
- A set $A$ is computable if and only if both $A$ and $\bar{A}$ are c.e.
( $\bar{A}=$ complement of $A=$ everything in $\mathbb{N}$ but not $A$ )
- All c.e. sets are Turing reducible to the Halting Set.
- The Halting Set is c.e. itself.
- There are non-c.e. sets $A$ such that $A \leq{ }_{T} H$ as well.


## Things We Study I LUB and GLB for Degrees

We say $\operatorname{deg}(A) \leq \operatorname{deg}(B)$ if $A \leq_{T} B$. This makes the degrees a partially ordered set that we can study.

- Every pair of degrees has a least upper bound.
- Not every pair of degrees has a greatest lower bound.
- For some but not all $A$ there is $B$ so that $\operatorname{glb}(\operatorname{deg}(A), \operatorname{deg}(B))$ exists and equals $\operatorname{deg}(\emptyset)$.
- For some but not all $A$ there is $B$ so that $\operatorname{lub}(\operatorname{deg}(A), \operatorname{deg}(B))=\operatorname{deg}(H)$.


## Things We Study II Effects of Relativization

If we relativize the halting set to a computable set, its Turing degree remains $\operatorname{deg}(H)$.

- If $A \leq_{T} B, H^{A} \leq_{T} H^{B}$, but $\leq_{T}$ can change to $\equiv_{T}$.
- There are noncomputable sets $A$ such that $H^{A} \equiv_{T} H$ ( $A$ is called low).
- There are sets $A \leq T H$ such that $H^{A} \equiv{ }_{T} H^{\prime}(A$ is called high).


## Tools We Use (I and Only) Priority Constructions

(A very sketchy look at constructing a noncomputable low set $A$.)

Goal
$A$ is c.e.
$\bar{A}$ is not c.e. Make every infinite $W_{e}$ intersect $A$
$H^{A} \equiv{ }_{T} H \quad$ Keep $A$ and hence $H^{A}$ from "changing too much" during construction

## Conflicts and Resolutions I

On the one hand, we want to put things into $A$ when we see the opportunity to make $A$ intersect $W_{e}$. On the other hand, putting things into $A$ might change $H^{A}$.

Give a priority ordering to construction requirements:

- Pos0: Make $W_{0}$ intersect $A$
- Neg0: Keep $H^{A \prime}$ s value on 0 constant
- Pos1: Make $W_{1}$ intersect $A$
- Neg1: Keep $H^{A}$ s value on 1 constant
... and so forth.


## Conflicts and Resolutions II

- The Neg requirements forbid enumerating certain elements into $A$ (set restraint on $A$ ): namely, $\operatorname{Neg} 22$ restrains the elements that tell us whether 22 is in $H^{A}$ or not.
- If Neg22 says "nothing below 140 can enter $A$ ", Pos23, Pos24 and beyond must obey it. Pos22, Pos21 and up can ignore it.
- If $W_{23}$ is infinite, Pos23 will still find a number in $W_{23}$ it's allowed to put in $A$. If $W_{23}$ is finite we don't care about it.
- Each Pos requirement puts at most one number in $A$, so Neg can make sure its value of $H^{A}$ changes only finitely often.


## In a Nutshell

Priority arguments allow us to cope with information that is being given gradually and may be incomplete or even incorrect during the course of the construction.

- We may act wrongly, but not acting might be just as wrong.

If we set things up so errors can be overcome, we can keep our construction at a known level of computability and hence make assertions about the computability of the set we're constructing.

## Takehome Message

Computable functions are great, but noncomputable ones are more interesting.

THANK YOU!

