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Lecture 25 

Chapter 5 - Local behavior

**Theorem 1** Suppose  $\Omega$  is a convex region and  $f \in \mathcal{H}(\Omega)$  has finitely many zeroes  $Z(f) = \{a_1, \dots, a_n\}$  in  $\Omega$  with each zero repeated according to its multiplicity. Let  $\gamma$  be a closed path in  $\Omega$  such that  $\gamma^* \cap Z(f) = \emptyset$ . Then

$$\sum_{j=1}^n \text{Ind}_{\gamma}(a_j) = \frac{1}{2i\pi} \int_{\gamma} \frac{f'(w)}{f(w)} dw$$

Picture

**proof of Theorem 1** By induction,  $\exists h \in \mathcal{H}(\Omega)$  such that  $f(z) = (z - a_1) \cdots (z - a_n)h(z)$  and  $h(z) \neq 0$  for  $z \in \Omega$ :

Furthermore, by induction:

$$\frac{f'(z)}{f(z)} = \frac{1}{z - a_1} + \cdots + \frac{1}{z - a_n} + \frac{h'(z)}{h(z)}$$

**proof**

Since  $\frac{h'}{h} \in \mathcal{H}(\Omega)$  as  $h(z) \neq 0$  and  $\Omega$  is convex,  $\int_{\gamma} \frac{h'(z)}{h(z)} dz = 0$  and our statement follows from the definitions. □

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**Corollary 2** If  $\gamma = \partial D$  is a positively oriented circle, then  $N_f = \frac{1}{2i\pi} \int_{\gamma} \frac{f'(z)}{f(z)} dz$  is the number of zeroes of  $f$  in  $D$ .

**Picture**

Let  $\Omega$  be a region,  $f \in \mathcal{H}(\Omega)$  and  $\overline{D_r(a)} \subset \Omega$ . Then  $\exists r' > r$  such that  $\overline{D_{r'}(a)} \subset \Omega$ .

Note that  $D_{r'}(a)$  is convex. If  $f$  is a non-constant function on  $D_{r'}(a)$ , then  $\boxed{g_w = f - w}$  has finitely many zeros in  $D_{r'}(a)$ ,  $\{a_1(w), \dots, a_n(w)\} \subset D_{r'}(a)$ .

$D_{r'}(a)$  is convex. Let  $\gamma = \partial D_{r'}(a)$  and  $\Gamma = f \circ \gamma$ .

$$\text{Ind}_{\Gamma}(w) =$$

$$= \boxed{N_{g_w} = \# \text{ of times that } f(z) = w \text{ in } D_{r'}(a) \text{ with multiplicities.}}$$

**Note 3** If  $w_1, w_2$  are in the same connected component  $V$  of  $\mathbb{C} \setminus \Gamma^*$ , then

$$\text{Ind}_{\Gamma}(w_1) = \text{Ind}_{\Gamma}(w_2) \text{ so } \#\{z \in V \mid f(z) = w_1\} = \#\{z \in V \mid f(z) = w_2\}$$

and  $f$  assumes the values  $w_1, w_2$  equally often inside  $\gamma^*$ .

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**Corollary 4** Suppose  $\Omega$  is a domain and  $f \in \mathcal{H}(\Omega)$ . Assume  $\boxed{g(z) = f(z) - w_0}$  has a zero of order  $m$  at  $z_0$ . Then,  $\exists \varepsilon_0 > 0$  such that  $\forall 0 < \varepsilon \leq \varepsilon_0$ ,  $\exists \delta = \delta(\varepsilon) > 0$  such that

$$a \in D_\delta(w_0) \Rightarrow \text{the equation } f(z) = a \text{ has exactly } m \text{ solutions in } D_\varepsilon(z_0)$$

up to multiplicity.

Furthermore for  $a \neq w_0$  and  $\varepsilon$  small enough, all  $m$  solutions are distinct.

**Picture**

**proof 1.) There are  $m$  solutions:** Choose  $\varepsilon_0 > 0$  such that  $\overline{D_{\varepsilon_0}(z_0)} \subset \Omega$  and  $z_0$  is the only solution to  $g(z) = f(z) - w_0$  in  $\overline{D_{\varepsilon_0}(z_0)}$ . (Zeros are isolated)

Fix  $0 < \varepsilon < \varepsilon_0$  and  $\gamma(t) = z_0 + \varepsilon e^{it}$ ,  $t \in [0, 2\pi]$ . Let  $\Gamma = f \circ \gamma$ . Note  $w_0 \notin \Gamma^*$  by our choice of  $\varepsilon_0$ . Choose  $\delta > 0$  such that

$$D_\delta(w_0) \cap \Gamma^* = \emptyset.$$

Then  $D_\delta(w_0)$  lies in a single component of  $\mathbb{C} \setminus \Gamma^*$ . Thus, by **Note 3**  $f$  assumes all values  $w \in D_\delta(w_0)$  equally often. Since  $f(z) = w_0$  has  $m$  solution, so does  $f(z) = w$  for all  $w \in D_\delta(w_0)$ .

**2.) For  $a \neq w_0$  there are  $m$  distinct solutions:**

**Claim:**  $\exists 0 < \varepsilon_1 \leq \varepsilon$  such that  $z \in D_{\varepsilon_1}(z_0) \Rightarrow f'(z) \neq 0$ .

If  $f'(z) \neq 0$  and  $f(z) = a$ , then  $f(z) - a$  has an isolated zero of order 1 which means that  $f(z) \neq a$  in a small disk around  $z$ . Hence if we prove the claim, the solutions of  $f(z) = a$  must be distinct.

**proof of Claim:** As  $g$  has a zero of order  $m$  in  $z_0$  we get for the power series

$$g(z) = f(z) - w_0 = \quad \text{and } f'(z) = \quad .$$

**Case 1**  $f'(z_0) = g'(z_0) \neq 0$ . Then

**Case 2**  $f'(z_0) = g'(z_0) = 0$ . As  $f'$  is holomorphic, the zeros are isolated, so there is a punctured disk  $D_{\varepsilon_1}^*(z_0)$ , □

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**Open Mapping Theorem** Suppose  $\Omega$  is a region and  $f \in \mathcal{H}(\Omega)$ . Then  $f(\Omega)$  is either a point or a region.

**proof** If  $f$  is constant, then  $f(\Omega)$  is a point. Otherwise, let  $w_0 \in f(\Omega)$ , say  $f(z_0) = w_0$ . Then  $g(z) = f(z) - w_0$  has a zero of finite order at  $z_0$ . With  $\epsilon_0$  and  $\delta$  as in the proof of the corollary we have

$$D_\delta(w_0) \subset f(D_{\epsilon_0}(z_0)) \subset f(\Omega)$$

hence  $f(\Omega)$  is open. It is clearly connected, hence a region. □

**Maximum Principle** Suppose  $\Omega$  is a region and  $f \in \mathcal{H}(\Omega)$  is non-constant ( $f \neq c$ ). Then  $|f|$  has no local maximum in  $\Omega$ .

**proof** Since  $\Omega$  is a region  $f$  is non-constant on any disk in  $\Omega$ . Therefore it suffices to prove the result for the case where  $\Omega = D$  is a disk.

Let  $w_0$  be in  $f(D)$ . Then  $f(D)$  is a region by the **Open Mapping Theorem**. Hence there is  $\delta > 0$ , such that

We use polar coordinates. If  $w_0 = r_0 e^{i\varphi_0}$ , let  $w = (r_0 + \frac{\delta}{2}) e^{i\varphi_0} \in D_\delta(w_0)$ . Then

$$|w| =$$

Hence  $|f|$  can not have a maximum in  $D$ . □