## Simple permutations in permutation classes

A polynomial algorithm for deciding the finiteness of the number of simple permutations in permutation classes

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## Outline

## 1 Introduction

■ Context of the study

- Definitions

2 Sketch of the procedure

- Patterns on permutations and factors on words
- Computing pinwords
- Automata recognizing pinword languages
- Assembling the algorithm

3 Perspectives

## Introduction

■ Context of the study

- Definitions


## Permutation classes and their enumeration

Permutation: $\sigma=\sigma(1) \sigma(2) \ldots \sigma(n)=\sigma_{1} \sigma_{2} \ldots \sigma_{n} \in S_{n}$
Pattern: $\pi \in S_{k}$ is a pattern of $\sigma \in S_{n}$ if $\exists 1 \leq i_{1}<\ldots<i_{k} \leq n$ such that $\sigma_{i_{1}} \ldots \sigma_{i_{k}}$ is order-isomorphic to $\pi$. Denoted $\pi \leq \sigma$.


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Permutation Class: Set $\mathcal{C}$ downward closed for $\leq$.
Characterized by its basis $B: \mathcal{C}=\operatorname{Av}(B)=\{\sigma: \forall \beta \in B, \beta \not \leq \sigma\}$. The (finite or infinite) basis is an antichain and is unique:

$$
B=\{\beta \notin \mathcal{C}: \forall \pi \leq \beta \text { such that } \pi \neq \beta, \pi \in \mathcal{C}\}
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Enumeration of class $\mathcal{C}=\operatorname{Av}(B)$, with finite basis $B$ :

- closed formula for $c_{n}=\left|S_{n} \cap \mathcal{C}\right|$
- recurrence on the $c_{n}$ 's
- generating function $\sum c_{n} z^{n}$

■ . .
NB: Enumeration without being given the basis is less frequent.

## Permutation classes and generating functions

Enumerating class $\mathcal{C}$ by its generating function $C(z)=\sum c_{n} z^{n}$ Structure of $\mathcal{C} \hookrightarrow$ Equations on $C(z) \hookrightarrow$ Properties of $C(z)$

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Example: $\mathcal{C}=\operatorname{Av}(231)$

- Sequence $c_{n}=\frac{1}{n+1}\binom{2 n}{n}$
- Algebraic generating function $C(z)=\frac{1-\sqrt{1-4 z}}{2 z}$


## Proof:

$\sigma \in \mathcal{C} \cap S_{n} \Leftrightarrow \exists k \in[0 . . n-1]$ s.t. $\sigma=\sigma_{L} n \sigma_{R}$

$$
\sigma_{R}
$$

with $\sigma_{L} \in \mathcal{C}$ on [1..k]
and $\sigma_{R} \in \mathcal{C}$ on $[k+1 . . n-1]$
$\Rightarrow C(z)=1+z C(z)^{2}$

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Properties of the generating function $\equiv$ Structure of the class

## A general sufficient condition for algebricity

Thm [Albert, Atkinson '05]
$\mathcal{C}$ contains finitely many simple permutations
$\Rightarrow \mathcal{C}$ is finitely based and has an algebraic generating function.

## Sketch of the proof

Use substitution decomposition of permutations ( $\equiv$ represent uniquely every permutation by its decomposition tree)

Recursive structure of the permutations in $\mathcal{C}$ ( $\equiv$ Tree grammar)
$\Rightarrow$ System of equations satisfied by the generating function $C(z)$ $\Rightarrow$ Algebricity of the generating function

## Finite number of simple permutations: decision

Thm [Brignall, Ruškuc, Vatter '08]
For a class $\mathcal{C}=\operatorname{Av}(B)$ with finite basis $B$, it is decidable whether
$\mathcal{C}$ contains a finite number of simple permutations.

## Sketch of the proof

$\mathcal{C}$ contains infinitely many simple permutations iff $\mathcal{C}$ contains:

1. either infinitely many parallel alternations
2. or infinitely many wedge simple permutations
3. or infinitely many proper pin-permutations

|  | Decision procedure | Complexity |
| :--- | :--- | :--- |
| 1. and 2.: | pattern matching of patterns <br> of size 3 or 4 in the $\beta \in B$. | Polynomial |
| $3 .:$ | Decidability with automata <br> techniques on pinwords | Decidable <br> 2ExpTime |

## Main result: polynomial-time decision

## Thm

For a class $\mathcal{C}=\operatorname{Av}(B)$ with finite basis $B$, it is polynomial to check whether $\mathcal{C}$ contains a finite number of simple permutations.

NB: Result known for wreath-closed classes since PP2009
With $n=\max \{|\beta|: \beta \in B\}$ and $k=$ number of patterns in $B$, the complexity is: Steps 1. and 2.: $\mathcal{O}(k \cdot n \log n)$ Step 3.: $\mathcal{O}\left(n^{3 k}\right)$
NB: Step 3. in the previous procedure: $\mathcal{O}\left(2^{n \cdot k \cdot 2^{n}}\right)$

## Tools for the proof

- Substitution decomposition

■ Encoding by pinwords and automata techniques

- Previous results on the class of pin-permutations


## Substitution for permutations

Substitution or inflation : $\sigma=\pi\left[\alpha^{(1)}, \alpha^{(2)}, \ldots, \alpha^{(k)}\right]$.
Example: Here, $\pi=132$, and $\left\{\begin{array}{l}\alpha^{(1)}=21=\bullet \bullet \\ \alpha^{(2)}=132=\bullet \bullet \\ \alpha^{(3)}=1=\bullet\end{array}\right.$


Hence $\sigma=132[21,132,1]=214653$.

## Simple permutations

Interval (or block) $=$ set of elements of $\sigma$ whose positions and values form intervals of integers Example: 5746 is an interval of
2574613


Simple permutation $=$ permutation that has no interval, except the trivial intervals: $1,2, \ldots, n$ and $\sigma$ Example: 3174625 is simple.

The smallest simple: 12, 21,2413,3142


## Substitution decomposition of permutations

Thm [AA '05]: Every $\sigma(\neq 1)$ is uniquely decomposed as
■ $\oplus\left[\alpha^{(1)}, \ldots, \alpha^{(k)}\right]$, where the $\alpha^{(i)}$ are $\oplus$-indecomposable
■ $\ominus\left[\alpha^{(1)}, \ldots, \alpha^{(k)}\right]$, where the $\alpha^{(i)}$ are $\ominus$-indecomposable

- $\pi\left[\alpha^{(1)}, \ldots, \alpha^{(k)}\right]$, where $\pi$ is simple of size $k \geq 4$

NB: $\oplus=12 \ldots$ and $\ominus=k \ldots 21$, for any $k \geq 2$

## Decomposition tree:

Recursively defined as
Example: Decomposition tree of
$\sigma=101312111411819202117161548329567$
$T_{1}=\bullet$
and

$$
T_{\sigma}=
$$

$$
T_{\alpha^{(1)}} \stackrel{\pi / \oplus / \ominus}{T_{\alpha^{(2)}}} \cdots{ }_{T_{\alpha^{(k)}}}
$$



## Pin representations

Pin representation of $\sigma=$ sequence $\left(p_{1}, \ldots, p_{n}\right)$ s. t. each $p_{i}$ satisfies

- the externality condition
- and
- the separation condition

- or the independence condition


$$
=\text { bounding box of }\left\{p_{1}, \ldots, p_{i-1}\right\}
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- the externality condition
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## Proper pin representation $=$ pin

 representation where each $p_{i}$ satisfies Example: the separation condition

## Encoding of pin representations by pinwords



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$$
U=\text { up }
$$

U
$p_{3}$

## Encoding of pin representations by pinwords



$$
\begin{aligned}
& U=\text { up } \\
& R=\text { right }
\end{aligned}
$$

$\cup R$ $p_{3} p_{4}$

## Encoding of pin representations by pinwords



$$
\begin{gathered}
U=\text { up } \\
R=\text { right } \\
D=\text { down }
\end{gathered}
$$

Introduction: Definitions

## Encoding of pin representations by pinwords



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Introduction: Definitions

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NB: Pinwords = words with no factor in $\{L, R\} \cdot\{L, R\} \cup\{U, D\} \cdot\{U, D\}$

## Encoding of pin representations by pinwords



$$
\begin{array}{cccccccc}
2 & 1 & U & R & D & 3 & U & R \\
p_{1} & p_{2} & p_{3} & p_{4} & p_{5} & p_{6} & p_{7} & p_{8}
\end{array}
$$

## Ambiguous encoding

$$
\begin{gathered}
U=\text { up } \\
R=\text { right } \\
D=\text { down } \\
L=\text { left }
\end{gathered}
$$

| 2 | 1 |
| :--- | :--- |
| 3 | 4 |

NB: Pinwords $=$ words with no factor in $\{L, R\} \cdot\{L, R\} \cup\{U, D\} \cdot\{U, D\}$

Strict pinwords: the only numeral is the first letter.

- Encode proper pin representations.
- But proper pin representations are encoded not only by strict pinwords!


## The class of pin-permutations

Fact: Not every permutation admits (proper) pin representations.

Def: Pin-permutation $=$ that has a pin representation.

Def: Proper pin-permutation = that has a proper pin representation.


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## Ambiguity of the encoding of pin-permutations by pinwords



$p_{7}$

Several pin representations for a single pin-permutation

## Ambiguity of the encoding of pin-permutations by pinwords

$\sigma$ a pin-permutation of $S_{n}$ :
■ at least one and possibly many pin representations of $\sigma$
■ at least one and possibly many pinwords (at most $8^{n}$ )

## Ambiguity of the encoding of pin-permutations by pinwords

$\sigma$ a proper pin-permutation of $S_{n}$ :

- at least one and possibly many proper pin representations of $\sigma$
- at least one and possibly many strict pinwords (at most $2^{n+2}$ )


## Ambiguity of the encoding of pin-permutations by pinwords

$\sigma$ a proper pin-permutation of $S_{n}$ :

- at least one and possibly many proper pin representations of $\sigma$
- at least one and possibly many strict pinwords (at most $2^{n+2}$ )

■ Every proper pin-permutations is encoded by at least one and at most $2^{n+2}$ strict pinwords.

■ Every strict pinword encodes a proper pin-permutation.
Hence: Infinitely many proper pin-permutations in $\mathcal{C}$
$\Leftrightarrow$ infinitely many strict pinwords encoding permutations in $\mathcal{C}$

## Proof of the main result

## Thm

For a class $\mathcal{C}=\operatorname{Av}(B)$ with finite basis $B$, it is polynomial to check whether $\mathcal{C}$ contains a finite number of simple permutations.

## Lemma

For a class $\mathcal{C}=\operatorname{Av}(B)$ with finite basis $B$, it is polynomial to check whether $\mathcal{C}$ contains a finite number of proper pin-permutations.

- Patterns on permutations and factors on words
- Computing pinwords
- Automata recognizing pinword languages
- Assembling the algorithm

Proof: Patterns on permutations and factors on words

## How to read permutation patterns in pinwords

$\forall$ (proper pin-) permutation $\sigma: \sigma \in \mathcal{C}=\operatorname{Av}(B)$ iff $\forall \beta \in B, \beta \not \leq \sigma$

Proof: Patterns on permutations and factors on words

## How to read permutation patterns in pinwords

$\forall$ (proper pin-) permutation $\sigma: \sigma \in \mathcal{C}=\operatorname{Av}(B)$ iff $\forall \beta \in B, \beta \not \leq \sigma$
Thm [BRV '08]
$\beta \in B, \sigma$ a (proper) pin-permutation, $w$ a (strict) pinword of $\sigma$. $\beta \leq \sigma \quad$ iff $\beta$ is a pin-permutation and
$\exists$ a pinword $u$ encoding $\beta$ s.t. $u \preceq w$

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$\exists$ a pinword $u$ encoding $\beta$ s.t. $u \preceq w$
Def $u=u^{(1)} \ldots u^{(j)}$ with each $u^{(i)}$ strict pinword.
$u \preceq w$ when $w=v^{(1)} w^{(1)} \ldots v^{(j)} w^{(j)} v^{(j+1)}$ s.t. $\forall i \in\{1, \ldots, j\}$ :
■ if $w^{(i)}$ begins with a numeral then $w^{(i)}=u^{(i)}$

- if $w^{(i)}$ begins with a direction, then
- $v^{(i)}$ is nonempty
- the first letter of $w^{(i)}$ corresponds to a point lying in the quadrant specified by the first letter of $u^{(i)}$
- and all letters except the first one in $u^{(i)}$ and $w^{(i)}$ agree


## Patterns as factors of $\phi$ (strict pinwords)

Replace numerals by directions $\Rightarrow$ factors instead of "almost factors"
$\phi: u=u_{1} u_{2} \ldots u_{n}$ strict pinword $\mapsto \phi(u) \in \mathcal{M}$ with $\mathcal{M}=\{L, R, U, D\}^{*}$ with no factor in $\{L, R\} \cdot\{L, R\} \cup\{U, D\} \cdot\{U, D\}$

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$\phi(u)=u_{0}^{\prime} u_{1}^{\prime} u_{2} \ldots u_{n}$ with $u_{0}^{\prime} u_{1}^{\prime}$ given by

| $u_{1}$ | $u_{2}$ | $u_{0}^{\prime} u_{1}^{\prime}$ |
| :--- | :--- | :--- |
| 1 | $D$ or $U(\uparrow)$ | $U R$ |
|  | $L$ or $R(\leftrightarrow)$ | $R U$ |
|  | $\epsilon$ | $\{U R, R U\}$ |

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| $u_{1}$ | $u_{2}$ | $u_{0}^{\prime} u_{1}^{\prime}$ |
| :--- | :--- | :--- |
| 2 | $\mathfrak{\imath}$ or $\leftrightarrow$ or $\epsilon$ | $\subseteq\{U L, L U\}$ |
| 3 | $\mathfrak{\jmath}$ or $\leftrightarrow$ or $\epsilon$ | $\subseteq\{D L, L D\}$ |
| 4 | $\mathfrak{\text { or }} \leftrightarrow$ or $\epsilon$ | $\subseteq\{R D, D R\}$ |

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$\phi: u=u_{1} u_{2} \ldots u_{n}$ strict pinword $\mapsto \phi(u) \in \mathcal{M}$ with $\mathcal{M}=\{L, R, U, D\}^{*}$ with no factor in $\{L, R\} \cdot\{L, R\} \cup\{U, D\} \cdot\{U, D\}$
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For strict pinwords, $u \preceq w$ iff (some $x \in$ ) $\phi(u)$ is a factor of $\phi(w)$ (See also PP2009)

## Patterns as piecewise factors of $\phi$ (pinwords)

## Thm

For $u$ a pinword and $w$ a strict pinword, $u \preceq w$ iff $\phi(w) \in \mathcal{L}(u)$
Def For $u=u^{(1)} u^{(2)} \ldots u^{(j)}$ with each $u^{(i)}$ strict pinword, $\mathcal{L}(u)=\Sigma^{*} \phi\left(u^{(1)}\right) \Sigma^{*} \phi\left(u^{(2)}\right) \ldots \Sigma^{*} \phi\left(u^{(j)}\right) \Sigma^{*}$ with $\Sigma=\{L, R, U, D\}$
$\mathcal{L}(u)=$ words that contain $\phi(u)=\left(\phi\left(u^{(1)}\right), \phi\left(u^{(2)}\right), \ldots, \phi\left(u^{(j)}\right)\right)$ as "piecewise factor"

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## Thm

$\beta \in B, \sigma$ a proper pin-permutation, $w$ a strict pinword of $\sigma$.

$$
\begin{array}{ll}
\beta \leq \sigma \quad \text { iff } & \beta \text { is a pin-permutation and } \exists \text { a pinword } u \\
& \text { encoding } \beta \text { s.t. } \phi(w) \in \mathcal{L}(u)
\end{array}
$$

Proof: Computing pinwords of any pin-permutation

## One step further: computing pinwords of $\beta \in B$

## So far:

$\forall$ proper pin-permutation $\sigma: \sigma \in \mathcal{C}=\operatorname{Av}(B)$ iff $\forall \beta \in B, \beta \not \leq \sigma$
$\beta \in B, \sigma$ a proper pin-permutation, w a strict pinword of $\sigma$. $\beta \not \leq \sigma \quad$ iff $\quad \beta$ is not a pin-permutation or for all pinwords $u$ encoding $\beta, \phi(w) \notin \mathcal{L}(u)$

## One step further: computing pinwords of $\beta \in B$

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## Next step:

When $\beta \in B$ is a pin-permutation, find its pinwords.
$\hookrightarrow$ Use the characterization of pin-permutations of [BBR09]

Proof: Computing pinwords of any pin-permutation

## Characterization of the pin-permutation class

The set $\mathcal{P}$ of decomposition trees of pin-permutations satisfies:


## $\bigcirc \bigcirc \bigcirc$

## 0000

Mathilde Bouvel
Simple permutations in permutation classes

Proof: Computing pinwords of any pin-permutation

## Pinwords $P(\sigma)$ of any pin-permutation $\sigma$

For each shape of tree, compute recursively the corresponding set of pinwords.

## Example:

For $\sigma=\sim_{0}^{\alpha}$
, i.e. $\sigma$ a simple pin-permutation
$P(\sigma)$ contains at most 64 pinwords
$P(\sigma)$ can be effectively computed in time $\mathcal{O}(n)$, with $n=|\sigma|$

Proof: Computing pinwords of any pin-permutation

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For each shape of tree, compute recursively the corresponding set of pinwords.

## Example:

For $\sigma=\xi_{\xi_{1}} \overbrace{\xi_{i_{0}}}^{\oplus}, T_{i_{0}} \notin \mathcal{W}^{+}, \forall i \xi_{i} \in \mathcal{W}^{+}$

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For $\sigma=\quad, \quad, \quad T_{i_{0}} \notin \mathcal{W}^{+}, \forall i \xi_{i} \in \mathcal{W}^{+}$

Set $P^{(k)}\left(\xi_{i}\right)=$ pinwords of $\xi_{i}$ with origin $p_{0}$ in quadrant $k$, $\mathfrak{P}_{(j)}^{(1)}=\left(P^{(1)}\left(\xi_{j}\right), P^{(1)}\left(\xi_{j-1}\right), \ldots, P^{(1)}\left(\xi_{1}\right)\right)$
and $\mathfrak{P}_{(j)}^{(3)}=\left(P^{(3)}\left(\xi_{j}\right), P^{(3)}\left(\xi_{j+1}\right), \ldots, P^{(3)}\left(\xi_{q}\right)\right)$

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## Pinwords $P(\sigma)$ of any pin-permutation $\sigma$

For each shape of tree, compute recursively the corresponding set of pinwords.

## Example:

For $\sigma=\quad, \quad, \quad T_{i_{0}} \notin \mathcal{W}^{+}, \forall i \xi_{i} \in \mathcal{W}^{+}$

If $\sigma$ does not satisfy any special condition $(H)$
then $P(\sigma)=P_{0}=P\left(T_{i_{0}}\right) \cdot \mathfrak{P}_{(\ell)}^{(1)} \sqcup \mathfrak{P}_{(\ell+2)}^{(3)}$

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$$
(2 H 1)\left\{\begin{array}{l}
\xi_{\ell}=\bullet=y \\
\xi_{\ell+2}=\bullet=x \\
T_{i_{0}}=\ominus[\bullet, S]
\end{array}\right.
$$

If $\sigma$ satisfies Condition (2H1) then $P(\sigma)=P_{0} \cup P_{1} \cup P_{2}$, with

$$
P_{1}=\underbrace{P(S) \cdot 1 \cdot L}_{x \cup T_{i_{0}}} \cdot \mathfrak{P}_{(\ell)}^{(1)} \sqcup \mathfrak{P}_{(\ell+3)}^{(3)}, P_{2}=\underbrace{P(S) \cdot 3 \cdot U}_{y \cup T_{i_{0}}} \cdot \mathfrak{P}_{(\ell-1)}^{(1)} \sqcup \mathfrak{P}_{(\ell+2)}^{(3)}
$$

Proof: Computing pinwords of any pin-permutation

## Pinwords $P(\sigma)$ of any pin-permutation $\sigma$

For each shape of tree, compute recursively the corresponding set of pinwords.

## Example:

For $\sigma=$


If $\sigma$ satisfies Condition...

Proof: Technical... and many cases...
Analyze the behavior of a pin representation w.r.t. the block of $\sigma$

One more step: automata recognizing $\cup_{u \in P(\beta)} \mathcal{L}(u), \beta \in B$

## So far:

$\forall$ proper pin-permutation $\sigma: \sigma \in \mathcal{C}=\operatorname{Av}(B)$ iff $\forall \beta \in B, \beta \not \leq \sigma$
$\beta$ pin-permutation $\mapsto P(\beta)=$ set of pinwords encoding $\beta$
$\beta \in B, \sigma$ a proper pin-permutation, $w$ a strict pinword of $\sigma$.
$\beta \not \leq \sigma \quad$ iff $\quad \beta$ is not a pin-permutation or

$$
\phi(w) \notin \cup_{u \in P(\beta)} \mathcal{L}(u)
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\end{array}
$$

Next step: When $\beta \in B$ is a pin-permutation, describe the language $\cup_{u \in P(\beta)} \mathcal{L}(u)$ by a deterministic automaton

## Determinism and mirror languages

- As before, use the recursive characterization of the pin-permutation class:
$\hookrightarrow$ For each shape of tree of a pin-permutation $\sigma$, compute a deterministic automaton recognizing $\mathcal{L}(\sigma)=\cup_{u \in P(\sigma)} \mathcal{L}(u)$.


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## Why the mirror?

- Common suffixes in pinwords of $P(\sigma)$
- But several choices for the beginning of $u \in P(\sigma)$
$\hookrightarrow$ Reading for the end allows determinism
Determinism is key to have a polynomial complexity.


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$\hookrightarrow$ Reading for the end allows determinism
Determinism is key to have a polynomial complexity.
Recall that $\mathcal{L}(u)=\Sigma^{*} \phi\left(u^{(1)}\right) \Sigma^{*} \phi\left(u^{(2)}\right) \ldots \Sigma^{*} \phi\left(u^{(j)}\right) \Sigma^{*}$


## Deterministic automaton recognizing $\overleftarrow{\mathcal{L}(\sigma)}$

Recursive construction on the shape of the tree of $\sigma$ :

## Example:

For $\sigma=\quad \alpha \quad$, i.e. $\sigma$ a simple pin-permutation

Compute $P(\sigma)$ (at most 64 pinwords, strict or quasi-strict) $\overleftarrow{\mathcal{L}(\sigma)}=$ words with a factor in $\{\overleftarrow{\phi(u)}: u \in P(\sigma)\}$
NB: small extension of $\phi$ to quasi-strict pin-words
Aho-Corasick: linear-time construction of a deterministic automaton $\mathcal{A}_{\sigma}$ recognizing $\overleftarrow{\mathcal{L}(\sigma)}$

Proof: Automata recognizing pinword languages

## Deterministic automaton recognizing $\overleftarrow{\mathcal{L}(\sigma)}$

Recursive construction on the shape of the tree of $\sigma$ :

## Example:

For $\sigma=$


If $\sigma$ does not satisfy any special condition $(H)$
then $P(\sigma)=P_{0}=P\left(T_{i_{0}}\right) \cdot \mathfrak{P}_{(\ell)}^{(1)} \sqcup \mathfrak{P}_{(\ell+2)}^{(3)}$

Proof: Automata recognizing pinword languages

## Deterministic automaton recognizing $\mathscr{\mathcal { L }}(\sigma)$

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## Example:



## Deterministic automaton recognizing $\overleftarrow{\mathcal{L}(\sigma)}$

Recursive construction on the shape of the tree of $\sigma$ :

## Example:

For $\sigma=$


$$
\begin{aligned}
& T_{i_{0}} \notin \mathcal{W}^{+}, \forall i \xi_{i} \in \mathcal{W}^{+} \\
& (2 H 1)\left\{\begin{array}{l}
\xi_{\ell}=\bullet=y \\
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\end{array}\right.
\end{aligned}
$$

If $\sigma$ satisfies Condition (2H1) then $P(\sigma)=P_{0} \cup P_{1} \cup P_{2}$, with $\ldots$ $\Rightarrow$ Add shortcuts to marked states of $\mathcal{A}\left(T_{i_{0}}\right)$, corresponding to words added to $P(\sigma)$

Proof: Automata recognizing pinword languages

## Deterministic automaton recognizing $\mathscr{\mathcal { L }}(\sigma)$

Recursive construction on the shape of the tree of $\sigma$ :

## Example:



## Complexity of the construction

|  | Time complexity | Size of $\mathcal{A}_{\sigma}$ |
| :--- | :--- | :--- |
| Non recursive cases | up to $\mathcal{O}\left(n^{3}\right)$ | up to $\mathcal{O}\left(n^{3}\right)$ |
| Recursive cases | up to $\mathcal{O}\left(n^{2}\right)$ <br> + recursive computation | up to $\mathcal{O}\left(n^{2}\right)$ <br> + recursive size |

Thm For any pin-permutation $\sigma$, we can build a deterministic automaton $\mathcal{A}_{\sigma}$ recognizing $\overleftarrow{\mathcal{L}(\sigma)}=\cup_{u \in P(\sigma)} \overleftarrow{\mathcal{L}(u)}$ Complexity (time and space): $\mathcal{O}\left(n^{3}\right)$ where $n=|\sigma|$

## Almost there

## So far:

$\forall$ proper pin-permutation $\sigma: \sigma \in \mathcal{C}=A v(B)$ iff $\forall \beta \in B, \beta \not \leq \sigma$
$\beta$ pin-permutation $\mapsto P(\beta)=$ set of pinwords encoding $\beta$
$\beta \in B, \sigma$ a proper pin-permutation, $w$ a strict pinword of $\sigma$.
$\beta \not \leq \sigma \quad$ iff $\quad \beta$ is not a pin-permutation or $\phi(w) \notin \cup_{u \in P(\beta)} \mathcal{L}(u)$
iff $\beta$ is not a pin-permutation or $\phi(w)$ is not accepted by $\mathcal{A}_{\beta}$

## Almost there

## So far:

$\forall$ proper pin-permutation $\sigma: \sigma \in \mathcal{C}=\operatorname{Av}(B)$ iff $\forall \beta \in B, \beta \not \leq \sigma$
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iff $\beta$ is not a pin-permutation or $\phi(w)$ is not accepted by $\mathcal{A}_{\beta}$

## Final step:

- Build the automaton accepting the language of words of the form $\phi(w)$ (for $w$ strict pinword) that are not accepted by any $\mathcal{A}_{\beta}$ (for $\beta \in B$ and $\beta$ pin-permutation)
- Test the finiteness of the corresponding language


## The missing first step

## Find the pin-permutations $\beta \in B$ !

Algorithm to test if a simple permutation $\sigma$ is a pin-permutation

- using properties of pin representation in [BBR '09]
$\hookrightarrow$ linear-time procedure
Algorithm to test if a permutation $\sigma$ is a pin-permutation:
- compute the decomposition tree of $\sigma$
- test whether its shape corresponds to pin-permutation trees

■ check that the simple permutations in the tree are pin-permutations
$\hookrightarrow$ linear-time procedure

## Overview of the algorithm

Goal: Check the finiteness of the number of proper pin-permutations in $\mathcal{C}=\operatorname{Av}(B)$, i.e. check the finiteness of the number of strict pinwords encoding permutations in $\mathcal{C}$

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## Procedure:

- Find the pin-permutations $\beta \in B$
- Compute the automata $\mathcal{A}_{\beta}$
- Compute the automaton $\mathcal{A}=\left(\cup \mathcal{A}_{\beta}\right)^{c} \cap \mathcal{A}(\mathcal{M})$

NB Use product construction for union to preserve determinism

- Test whether $L(\mathcal{A})$ is infinite i.e. whether $\mathcal{A}$ contains a cycle


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Complexity: $\mathcal{O}\left(n^{3 k}\right)$ in time and space where $n=\max \{|\beta|: \beta \in B\}$ and $k=$ number of patterns in $B$

## Main result

Thm There is a $\mathcal{O}(k \cdot n \log n)$ procedure to test whether $\mathcal{C}=\operatorname{Av}(B)$ contains finitely many parallel alternations (resp. wedge simple permutations).

Thm There is a $\mathcal{O}\left(n^{3 k}\right)$ procedure to test whether $\mathcal{C}=\operatorname{Av}(B)$ contains finitely proper pin-permutations

Thm There is a $\mathcal{O}\left(n^{3 k}\right)$ procedure to test whether $\mathcal{C}=\operatorname{Av}(B)$ contains finitely simple permutations (which is a sufficient condition for $C(z)$ to be algebraic)

## Conclusion

So far:
■ Finite number of simple permutations in $\mathcal{C}$ : sufficient condition for $C(z)$ to be algebraic

- Polynomial procedure to test this condition

Next step:

- Compute the set of simple permutations in $\mathcal{C}$
$\hookrightarrow$ [AA '05] gives a procedure, but very high complexity
- Compute the generating function $C(z)$
$\hookrightarrow$ Provide an algorithm from the proof of [AA '05]
Further perspectives:
- Random generation in (wreath-closed) permutation classes
- Implementation in a library

