

Math 68. Algebraic Combinatorics.

**Problem Set 3.** Due on Friday, 10/25/2013.

1. Prove that

$$\frac{1}{1-z} = \prod_{j \geq 0} (1 + z^{2^j}).$$

2. For fixed  $k$ , give the exponential generating function for the number of surjective maps from  $[n]$  onto  $[k]$ .
3. (a) Let  $b_n$  denote the number of (labeled) rooted trees on the vertex set  $[n]$  whose leaves (i.e., vertices with no children) are colored either red or blue. Find an equation satisfied by the exponential generating function

$$B(z) = \sum_{n \geq 0} b_n \frac{z^n}{n!} = 2z + 4\frac{z^2}{2!} + 24\frac{z^3}{3!} + \dots$$

- (b) Use the Lagrange inversion formula to deduce that

$$b_n = \sum_{k=0}^n \binom{n}{k} k^{n-1}.$$

- (c) \* Give a direct combinatorial proof of (b).
4. Describe an explicit bijection between the set of (unlabeled) rooted plane trees with  $n$  edges and the set of Dyck paths with  $2n$  steps.
5. Let  $M(n)$  be the set of all subsets of  $[n]$ , with the ordering  $A \leq B$  if the elements of  $A$  are  $a_1 > a_2 > \dots > a_j$  and the elements of  $B$  are  $b_1 > b_2 > \dots > b_k$ , where  $j \leq k$  and  $a_i \leq b_i$  for  $1 \leq i \leq j$ . (The empty set  $\emptyset$  is the bottom element of  $M(n)$ .)
- (a) Draw the Hasse diagrams (with vertices labeled by the subsets they represent) of  $M(1)$ ,  $M(2)$ ,  $M(3)$ , and  $M(4)$ .
- (b) Show that  $M(n)$  is graded of rank  $\binom{n+1}{2}$ . What is  $\text{rank}(\{a_1, \dots, a_k\})$ ?
- (c) Define the *rank-generating function* of a graded poset  $P$  to be

$$F(P, q) := \sum_{x \in P} q^{\text{rank}(x)}.$$

Show that the rank-generating function of  $M(n)$  is given by

$$F(M(n), q) = (1+q)(1+q^2) \cdots (1+q^n).$$

6. \* Let  $h_n$  be the number of ways to choose a permutation  $\pi$  of  $[n]$  and a subset  $S$  of  $[n]$  such that if  $i \in S$ , then  $\pi(i) \notin S$ . Find an expression for the exponential generating function  $\sum_{n \geq 0} h_n \frac{z^n}{n!}$ .
7. \*\* (Extra credit) If you solved problem 7 in Problem Set 2 bijectively, as part of your solution you probably found a bijection between paths with  $2n$  steps  $N = (0, 1)$  and  $E = (1, 0)$  starting at the origin and ending at  $(n, n)$  (sometimes called Grand Dyck paths, and counted by  $\binom{2n}{n}$ ) and paths with  $2n$  steps  $N$  and  $E$  starting at the origin and not going below  $y = x$  (sometimes called ballot paths). Concatenating a ballot path with its reflection gives a trivial bijection between ballot paths of length  $2n$  and symmetric Dyck paths of length  $4n$ .

Define the *height* of an occurrence of  $NNE$  in a lattice path to be one plus the number of  $N$  steps before the occurrence. For example,  $NNEENNEE$  has occurrences of  $NNE$  at heights 1 and 3. Define the *position* of an occurrence of  $NE$  to be one plus the total number of steps before the occurrence. For example,  $NENE$  has occurrences of  $NE$  in positions 1 and 3.

Find a bijection between symmetric Dyck paths of length  $4n$  and Grand Dyck paths of length  $2n$  that maps the heights of the occurrences of  $NNE$  to the positions of the occurrences of  $NE$ .

(As an example, note that the heights of the occurrences of  $NNE$  in the 6 symmetric Dyck paths of length 8 are  $\emptyset, \{1\}, \{2\}, \{2\}, \{3\}, \{1, 3\}$ , which are also the positions of the occurrences of  $NE$  in Grand Dyck paths of length 4.)