

# Amalgamated free products of $C^*$ -algebras with MF property

Presented by

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## 1 Residually Finite Groups

### Definition 1.1

A countable group  $G$  is a residually finite (RF) group  
 $\Leftrightarrow \forall e \neq g \in G, \exists$  a finite group  $H$  and a homomorphism  $\rho : G \rightarrow H$ ,  
s.t.  $\rho(g) \neq e$ .  
 $\Leftrightarrow G$  embeds into  $\prod_k H_k$  for a family of finite groups  $\{H_k\}_k$

RF groups include: finite group, finite generated abelian group, free groups  $F_n$ , and  $SL_n(\mathbb{Z})$ .

**Remark 1.2** If  $G$  is a residually finite group, then

$$L(G) \hookrightarrow \mathcal{R}^\omega$$

i.e. Connes' embedding problem for  $L(G)$  has a "yes" answer.

**Remark 1.3** Connes embedding problem asks whether every separable  $II_1$  factor can be embedded into  $\mathcal{R}^\omega$ , where  $\mathcal{R}^\omega$  is the untrapower of the hyperfinite  $II_1$  factor  $\mathcal{R}$ .

**Theorem 1.4 (Gruenberg, 1957)** *Suppose  $G_1, G_2$  are RF. Then  $G_1 * G_2$  is RF.*

**Theorem 1.5 (Baumslag, 1963)** *Suppose that  $G_1 \supseteq H \subseteq G_2$ , where  $G_1, G_2$  are RF and  $H$  is finite. Then the generalized free product of  $G_1$  and  $G_2$  with amalgamation over  $H$ ,  $G_1 *_H G_2$  is RF.*

An example of G. Higman in 1951 showed that  $G_1 *_H G_2$  might not be RF when  $G_1, G_2$  are RF and  $H$  is an infinite cyclic group. For example,

$$G_1 = \langle a, c : a^{-1}ca = c^2 \rangle; \quad G_2 = \langle b, c : b^{-1}cb = c^2 \rangle; \quad H = \langle c \rangle.$$

And

$$G_1 *_H G_2 = \langle a, b, c : a^{-1}ca = b^{-1}cb = c^2 \rangle$$

## 2 Residually Finite Dimensional C\*-algebras

### Definition 2.1

A separable C\*-algebra  $\mathcal{A}$  is residually finite dimensional (RFD)  $\iff \forall 0 \neq x \in \mathcal{A}, \exists$  a finite dimensional C\*-algebra  $\mathcal{D}$  and a \*-homomorphism  $\rho : \mathcal{A} \rightarrow \mathcal{D}$ , s.t.  $\rho(x) \neq 0$ .

$\iff \mathcal{A}$  embeds into  $\prod_k D_k$  for finite dimensional C\*-algebras  $\{D_k\}_k$

RFD algebras include: finite dimensional C\*-algebras, abelian C\*-algebras. A result of Choi in 1980 showed that  $C^*(F_2)$  is RFD.

**Remark 2.2** If a C\*-algebra  $\mathcal{A}$  is RFD, then  $\mathcal{A}$  has a faithful trace.

**Theorem 2.3 (Malcev)** If  $G$  is a finite generated group, then

$$C^*(G) \text{ is RFD} \implies G \text{ is RF}$$

**Theorem 2.4 (Bekka, 2006)**  $C^*(SL_4(\mathbb{Z}))$  is NOT RFD.

**Remark 2.5** Connes embedding problem  $\iff C^*(F_2 \times F_2)$  RFD

In the context of  $C^*$ -algebras, there are two types of free products we are interested: **full free product** and **reduced free product**.

Suppose that  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are unital  $C^*$ -algebras. The unital full free product,  $\mathcal{D}(= \mathcal{A}_1 *_{\mathbb{C}} \mathcal{A}_2)$ , is a unital  $C^*$ -algebra together with unital  $*$ -homomorphism  $\sigma_i : \mathcal{A}_i \rightarrow \mathcal{D}$  such that the following is true:

if  $\mathcal{C}$  is a unital  $C^*$ -algebra and  $\rho_i : \mathcal{A}_i \rightarrow \mathcal{C}$  are unital  $*$ -homomorphisms, then  $\exists$  a unique unital  $*$ -homomorphism  $\pi : \mathcal{D} \rightarrow \mathcal{C}$  such that  $\rho_i = \pi \circ \sigma_i$ .

Reduced free products were introduced by Voiculescu in the context of free probability theory.

**Theorem 2.6 (Exel, Loring, 1992)** *Suppose that  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are residually finite dimensional unital  $C^*$ -algebras, then  $\mathcal{A}_1 *_{\mathbb{C}I} \mathcal{A}_2$  is RFD, where  $\mathcal{A}_1 *_{\mathbb{C}I} \mathcal{A}_2$  is the unital full free product of  $\mathcal{A}_1$  and  $\mathcal{A}_2$  .*

How about amalgamated free products of RFD  $C^*$ -algebras? Do we have an analogue of Baumslag's theorem in  $C^*$ -algebra context?

### 3 An analogue of Baumslag's theorem

Suppose that unital  $C^*$ -algebras  $\mathcal{A} \supseteq \mathcal{D} \subseteq \mathcal{B}$ . Using universal property, we can define the unital full amalgamated free product of  $\mathcal{A}$  and  $\mathcal{B}$  over  $\mathcal{D}$ , which is denoted by  $\mathcal{A} *_{\mathcal{D}} \mathcal{B}$ .

**Remark 3.1** *If  $C^*$ -algebra  $\mathcal{A}$  is RFD, then  $\mathcal{A}$  has a faithful trace.*

**Example 3.2** *Let  $\mathcal{D} = \mathbb{C} \oplus \mathbb{C}$ ,  $\mathcal{A} = \mathcal{M}_2(\mathbb{C})$  and  $\mathcal{B} = \mathcal{M}_3(\mathbb{C})$ . Let  $\mathcal{D} \hookrightarrow \mathcal{A}$  by sending  $(a, b) \rightarrow \text{diag}(a, b)$  and  $\mathcal{D} \hookrightarrow \mathcal{B}$  by sending  $(a, b) \rightarrow \text{diag}(a, b, b)$ . Then  $\mathcal{A} *_{\mathcal{D}} \mathcal{B}$  is not RFD, because there is no trace on  $\mathcal{A} *_{\mathcal{D}} \mathcal{B}$ .*

An earlier result by S. Armstrong, K. Dykema, R. Exel, and H. Li in 2002:

**Theorem 3.3 (Armstrong-Dykema-Exel-Li)**

*Suppose unital  $C^*$ -algebras:  $\mathcal{A} \supseteq \mathcal{D} \subseteq \mathcal{B}$  with  $\mathcal{A}$  and  $\mathcal{B}$  finite dimensional. Then*

- $\mathcal{A} *_{\mathcal{D}} \mathcal{B}$  is residually finite dimensional*
- $\iff \exists$  *faithful tracial states  $\tau_{\mathcal{A}}$  on  $\mathcal{A}$  and  $\tau_{\mathcal{B}}$  on  $\mathcal{B}$  whose restrictions to  $\mathcal{D}$  agree*
- $\iff \exists$  *a matrix algebra  $\mathcal{M}_k(\mathbb{C})$  and embedding  $\rho_{\mathcal{A}} : \mathcal{A} \rightarrow \mathcal{M}_k(\mathbb{C})$ ,  $\rho_{\mathcal{B}} : \mathcal{B} \rightarrow \mathcal{M}_k(\mathbb{C})$ , such that the following diagram commutes*

$$\begin{array}{ccc}
 \mathcal{D} & \subseteq & \mathcal{A} \\
 \cup & & \downarrow \rho_{\mathcal{A}} \\
 \mathcal{B} & \xrightarrow{\rho_{\mathcal{B}}} & \mathcal{M}_k(\mathbb{C})
 \end{array}$$

An analogue of Baumslag's Theorem in  $C^*$ -algebra context by J. Shen and Q. Li in 2010:

**Theorem 3.4** *Consider unital  $C^*$ -algebras:  $\mathcal{A} \supseteq \mathcal{D} \subseteq \mathcal{B}$  where  $\mathcal{A}$  and  $\mathcal{B}$  are RFD and  $\mathcal{D}$  is finite dimensional. Then*

*$\mathcal{A} *_{\mathcal{D}} \mathcal{B}$  is residually finite dimensional*

*$\iff \exists$  a family of matrix algebras  $\{\mathcal{M}_{n_k}(\mathbb{C})\}$  and embedding  $\rho_{\mathcal{A}} : \mathcal{A} \rightarrow \prod_k \mathcal{M}_{n_k}(\mathbb{C})$ ,  $\rho_{\mathcal{B}} : \mathcal{B} \rightarrow \prod_k \mathcal{M}_{n_k}(\mathbb{C})$ , such that the following diagram commutes*

$$\begin{array}{ccc} \mathcal{D} & \subseteq & \mathcal{A} \\ \cup & & \downarrow \rho_{\mathcal{A}} \\ \mathcal{B} & \xrightarrow{\rho_{\mathcal{B}}} & \prod_k \mathcal{M}_{n_k}(\mathbb{C}) \end{array}$$

**Corollary 3.5** *Consider unital  $C^*$ -algebras:  $\mathcal{D} \subseteq \mathcal{A}$  where  $\mathcal{A}$  is RFD and  $\mathcal{D}$  is finite dimensional. Then  $\mathcal{A} *_{\mathcal{D}} \mathcal{A}$  is residually finite dimensional.*

There is another type of free product of  $C^*$ -algebras: *Reduced free product* of  $C^*$ -algebras introduced by D. Voiculescu.

Consider unital  $C^*$ -algebras  $\mathcal{A} \supseteq \mathcal{D} \subseteq \mathcal{B}$ ,  $E_{\mathcal{A}} : \mathcal{A} \rightarrow \mathcal{D}$  and  $E_{\mathcal{B}} : \mathcal{B} \rightarrow \mathcal{D}$  are condition expectations such that the corresponding GNS representations are faithful. Then, the reduced free product of  $\mathcal{A}$  and  $\mathcal{B}$  with the amalgamation over  $\mathcal{D}$ , denoted by  $(\mathcal{A}, E_{\mathcal{A}}) *_{\mathcal{D}} (\mathcal{B}, E_{\mathcal{B}})$  is introduced by Voiculescu.

In particular, when  $\mathcal{D} = \mathbb{C}$  and conditional expectations are induced by faithful traces, we obtained the reduced free product  $(\mathcal{A}, \tau_{\mathcal{A}}) *_{red} (\mathcal{B}, \tau_{\mathcal{B}})$  of  $\mathcal{A}$  and  $\mathcal{B}$ .

Most of reduced free products of unital  $C^*$ -algebras are not RFD. For example,

$$C_r^*(F_2) \simeq (C_r^*(\mathbb{Z}), \tau_{\mathbb{Z}}) *_{red} (C_r^*(\mathbb{Z}), \tau_{\mathbb{Z}})$$

is not quasidiagonal by a result of Rosenberg, thus not RFD.



## 4 MF algebras

A separable  $C^*$ -algebra  $\mathcal{A}$  is residually finite dimensional (RFD)  
 $\iff \mathcal{A}$  embeds into  $\prod_k D_k$  for a family of finite dimensional  $C^*$ -algebra  $\{\mathcal{D}_k\}_k$ .

MF algebras are introduced by Blackadar and Kirchberg in 1997.

### Definition 4.1

A separable  $C^*$ -algebra  $\mathcal{A}$  is MF algebra (or  $\mathcal{A}$  has MF property)  
 $\iff \mathcal{A}$  embeds into  $\prod_k D_k / \sum_k D_k$  for a family of matrix algebras  $\{\mathcal{D}_k\}_k$ .

MF algebras include: RFD algebras, quasidiagonal  $C^*$ -algebras.

**Definition 4.2** A separable  $C^*$ -algebra  $\mathcal{A} \subseteq B(\mathcal{H})$  is quasidiagonal if there is an increasing sequence of finite-rank projections  $\{p_i\}_{i=1}^\infty$  on  $\mathcal{H}$  tending strongly to the identity such that  $\|xp_i - p_i x\| \rightarrow 0$  as  $i \rightarrow \infty$  for any  $x \in \mathcal{A}$ . An abstract separable  $C^*$ -algebra  $\mathcal{A}$  is quasidiagonal if there is a faithful  $*$ -representation  $\pi : \mathcal{A} \rightarrow B(\mathcal{H})$  on a Hilbert space  $\mathcal{H}$  such that  $\pi(\mathcal{A}) \subseteq B(\mathcal{H})$  is quasidiagonal.

**Proposition 4.3 (Blackadar, Kirchberg)** Suppose  $\mathcal{A}$  is a nuclear  $C^*$ -algebra. Then

$$\mathcal{A} \text{ is MF} \iff \mathcal{A} \text{ is quasidiagonal}$$

Applications of MF algebras:

**Proposition 4.4 (Voiculescu)** *Suppose that  $\mathcal{A}$  is an MF algebra, but not a quasidiagonal  $C^*$ -algebra. Then the BDF-extension semigroup,  $Ext(\mathcal{A})$ , is not a group.*

**Proposition 4.5 (Voiculescu)** *Suppose that  $\mathcal{A}$  is an MF algebra. Then, for  $x_1, \dots, x_n$  in  $\mathcal{A}$ , we have*

$$\delta_{top}(x_1, \dots, x_n) > -\infty,$$

*where  $\delta_{top}(x_1, \dots, x_n)$  is Voiculescu's topological free entropy dimension of  $x_1, \dots, x_n$ .*

## 4.1 Reduced Free Products

### Theorem 4.6 (Haagerup, Thorbjorsen)

$C_r^*(F_2) \simeq (C_r^*(\mathbb{Z}), \tau_{\mathbb{Z}}) *_{red} (C_r^*(\mathbb{Z}), \tau_{\mathbb{Z}})$  is an MF algebra. Thus  $Ext(C_r^*(F_2))$  is not a group.

In 2009, D. Hadwin, J. Li, L. Wang and I showed that

**Theorem 4.7** *Suppose that  $\mathcal{A}_i$ ,  $i = 1, \dots, n$ , is a family of unital separable AH algebras with faithful tracial states  $\tau_i$ ,  $i = 1, \dots, n$ . Then*

$$(\mathcal{A}_1, \tau_1) *_{red} \cdots *_{red} (\mathcal{A}_n, \tau_n)$$

*is an MF algebra.*

**Corollary 4.8** *Suppose that  $G_1, G_2$  is direct products of abelian/finite groups. Then  $C_r^*(G_1 * G_2)$  is an MF algebra. Moreover, if  $|G_1| \geq 3$  and  $|G_2| \geq 2$ , then  $Ext(C_r^*(G_1 * G_2))$  is not a group.*

## 4.2 Reduced Amalgamated Free Products

An extension of preceding results to reduced amalgamated free products is obtained by Q. Li and I in 2010.

**Theorem 4.9** *Suppose that  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are two unital UHF-algebras with faithful tracial states  $\tau_{\mathcal{A}_1}$  and  $\tau_{\mathcal{A}_2}$  respectively.*

*Let  $\mathcal{A}_1 \supseteq \mathcal{D} \subseteq \mathcal{A}_2$  be unital embedding of  $C^*$ -algebras where  $\mathcal{D}$  is a finite-dimensional  $C^*$ -algebra.*

*Assume that  $E_{\mathcal{A}_1} : \mathcal{A}_1 \rightarrow \mathcal{D}$  and  $E_{\mathcal{A}_2} : \mathcal{A}_2 \rightarrow \mathcal{D}$  are the trace preserving conditional expectations from  $\mathcal{A}_1$  and  $\mathcal{A}_2$  onto  $\mathcal{D}$  respectively.*

*Then the reduced amalgamated free product  $(\mathcal{A}_1, E_{\mathcal{A}_1}) *_{\mathcal{D}} (\mathcal{A}_2, E_{\mathcal{A}_2})$  is an MF algebra if and only if  $\tau_{\mathcal{A}_1}(z) = \tau_{\mathcal{A}_2}(z)$  for all  $z \in \mathcal{D}$ .*

**Corollary 4.10** *Suppose that  $G_1 \supseteq H \subseteq G_2$  are finite groups. Then*

$$C_r^*(G_1 *_H G_2) \simeq C_r^*(G_1) *_{C_r^*(H)} C_r^*(G_2)$$

*is an MF algebra. Moreover, if  $[G_1 : H] \geq 2$  and  $[G_2 : H] \geq 3$ , then  $\text{Ext}(C_r^*(G_1 *_H G_2))$  is not a group.*

### 4.3 Full free products

In 2008, D. Hadwin, Q. Li and I showed that

**Theorem 4.11** *Suppose that  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are unital MF algebras. Then the unital full free product of  $\mathcal{A}_1$  and  $\mathcal{A}_2$ ,  $\mathcal{A}_1 *_\mathbb{C} \mathcal{A}_2$  is MF.*

**Corollary 4.12** *Suppose that  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are unital MF algebras. Suppose that  $x_1, \dots, x_n$  and  $y_1, \dots, y_m$  are families of generators of  $\mathcal{A}_1$ , and  $\mathcal{A}_2$  respectively. Then  $x_1, \dots, x_n, y_1, \dots, y_m$  can be viewed as a family of generators of  $\mathcal{A}_1 *_\mathbb{C} \mathcal{A}_2$ . We have*

$$\delta_{top}(x_1, \dots, x_n, y_1, \dots, y_m) = \delta_{top}(x_1, \dots, x_n) + \delta_{top}(y_1, \dots, y_m),$$

where  $\delta_{top}$  is Voiculescu's topological free entropy dimension for  $C^*$ -algebras.

#### 4.4 Full Amalgamated free products

**Example 4.13** Let  $\mathcal{D} = \mathbb{C} \oplus \mathbb{C}$ ,  $\mathcal{A} = \mathcal{M}_2(\mathbb{C})$  and  $\mathcal{B} = \mathcal{M}_3(\mathbb{C})$ . Let  $\mathcal{D} \hookrightarrow \mathcal{A}$  by sending  $(a, b) \rightarrow \text{diag}(a, b)$  and  $\mathcal{D} \hookrightarrow \mathcal{B}$  by sending  $(a, b) \rightarrow \text{diag}(a, b, b)$ . Then  $\mathcal{A} *_{\mathcal{D}} \mathcal{B}$  is not MF, because there is no trace on  $\mathcal{A} *_{\mathcal{D}} \mathcal{B}$ .

In 2010, Q. Li and I showed that

**Theorem 4.14** Consider unital  $C^*$ -algebras:  $\mathcal{A} \supseteq \mathcal{D} \subseteq \mathcal{B}$  where  $\mathcal{A}$  and  $\mathcal{B}$  are MF algebras and  $\mathcal{D}$  is finite dimensional (or AF algebra, more generally). Then

$\mathcal{A} *_{\mathcal{D}} \mathcal{B}$  is MF algebra

$\iff \exists$  a family of matrix algebras  $\{\mathcal{M}_{n_k}(\mathbb{C})\}$  and embedding

$$\rho_{\mathcal{A}} : \mathcal{A} \rightarrow \prod_k \mathcal{M}_{n_k}(\mathbb{C}) / \sum_k \mathcal{M}_{n_k}(\mathbb{C})$$

$$\rho_{\mathcal{B}} : \mathcal{B} \rightarrow \prod_k \mathcal{M}_{n_k}(\mathbb{C}) / \sum_k \mathcal{M}_{n_k}(\mathbb{C})$$

such that the following diagram commutes

$$\begin{array}{ccc} \mathcal{D} & \subseteq & \mathcal{A} \\ \cup & & \downarrow \rho_{\mathcal{A}} \\ \mathcal{B} & \xrightarrow{\rho_{\mathcal{B}}} & \prod_k \mathcal{M}_{n_k}(\mathbb{C}) / \sum_k \mathcal{M}_{n_k}(\mathbb{C}) \end{array}$$

**Corollary 4.15** Consider unital AF-algebras:  $\mathcal{A} \supseteq \mathcal{D} \subseteq \mathcal{B}$ . If there are faithful tracial states  $\tau_{\mathcal{A}}$  and  $\tau_{\mathcal{B}}$  on  $\mathcal{A}$  and  $\mathcal{B}$  respectively, such that  $\tau_{\mathcal{A}}(x) = \tau_{\mathcal{B}}(x) \forall x \in \mathcal{D}$ , then  $\mathcal{A} *_{\mathcal{D}} \mathcal{B}$  is an MF algebra.